

INTERNAL AUDIT WORK 2020/21

PLANNED AUDIT WORK 2020/21	STATUS
Corporate & Cross-Cutting	
Use of CCTV	Fieldwork underway
Purchasing Cards	Draft report issued
Fol and SAR	Fieldwork complete
Governance Arrangements	Fieldwork underway
Officer and Member Decision Making	Fieldwork underway
Project Management	Fieldwork underway
Digitalisation	Fieldwork complete
Cyber Security Awareness	Planned Q4
Assets review	Fieldwork underway
Covid-19 response	
Supplier relief	Planning commenced
Grants post-assurance	Planning commenced
Income compensation scheme	Fieldwork underway
Financial / Corporate Systems	
Debtors (Accounts Receivable)	Reasonable Assurance
Creditors (Accounts Payable)	Fieldwork underway
Payroll	Fieldwork underway
Council Tax & NNDR	Planning commenced
Council Tax Support & Benefits	Planned Q4
Main Accounting	Fieldwork underway

PLANNED AUDIT WORK 2020/21	STATUS
Adult Social Care & Health	
Reablement Service	Reasonable Assurance
Social Care and Emergency Payments	Planning commenced
Children's Services	
Improvement Plan Governance	Substantial Assurance
Data Quality	Draft report issued
Schools Themed Audit – Budgeting	Fieldwork underway
Schools Themed Audit – Pupil Premium	Fieldwork underway
Inclusion Strategy	Reasonable Assurance
Environment and Community Services	
Anti-Social Behaviour Management	Reasonable Assurance
Car Parking Income (follow-up)	Planned Q4
Regeneration and Culture	
Transporter Bridge	Work complete
Teesside Pension Fund	
Pension Fund Administration	Planning commenced
Pension Fund Investments	Planning commenced
Other work	
Troubled Families certification	Ongoing throughout the year (Q2, Q3 complete)
MCLS subcontracting review	Work complete
TVCA grant certification	Work complete

PLANNED AUDIT WORK 2020/21	STATUS
Homes England compliance	Work complete
Trust Funds certification	Work complete